## <u>Internal Audit – Self Assessment against</u> Public Sector Internal Audit Standards and the Local Government Application Note for 2013-14

## **Contents Page**

1.	Internal Audit Charter
2.	Risk Based Audit Plan
3.	Risk Assessment Methodology
4.	Employees Code of Conduct
5 a - j	Information Security Policies
6 a - e	Fraud Policies
7.	Financial Regulations
8.	Standing Orders in relation to contracts
9.	Audit Declaration of Independence and Confidentiality
11.	Nolan Principles
12.	Report to Audit & Risk committee – Internal Audit Charter
13.	Role of the Head of Internal Audit
14.	Annual Report of the Internal Audit Service 2013-14
15.	Copy AGS required
16.	Covering report to Audit and Risk Committee – Annual Report of the Internal Audit
	Service 2013-14
17.	Progress Report to Audit and Risk Committee – Qtr 2 2013-14
18.	Example Email to Chair & Vice Chair of Audit & Risk Committee
19.	Gifts and Hospitality Policy
20.	Internal Audit Protocol
21.	CIPFA – Excellent Internal Auditor
23 a –b	Examples of Audit Satisfaction Surveys used by Internal Audit
24.	Email to Executive Directors and Heads of Service re Audit Plan
25.	Reports to EMT and Audit and Risk committee Re: Outstanding Recommendations
26.	Code of Corporate Governance
27.	Fraud Awareness Guide
28.	Outline for Audit Brief
29.	Audit Report Template
30.	Example of Monthly Assurance Statement
31	Example template for Audit Programme

Classification: NULBC UNCLASSIFIED